



# Business Owner Supplement

WILLIAMS WEALTH STRATEGIES

## Closely-Held Business Owners

What is the full legal name of your company(ies)?

### Type of business entity:

- Sole Proprietorship     
  C Corporation     
  Limited Liability Partnership  
 General Partnership     
  S Corporation     
  Limited Liability Limited Partnership  
 Limited Partnership     
  Limited Liability Company     
  Other:

[If a C corporation, what is the corporate tax bracket (federal plus state)? \_\_\_\_%]

Number of full-time employees: \_\_\_\_\_

Are any family members employed in the business? If so, describe any future plans for increased responsibility and/or ownership:

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Business Owner	Ownership %	Salary/Bonus	Age	Principle Business Activities	Active vs. Non-Active

Name of Business	Annual Revenue	Net income/ Profits	Expected revenue next year	Estimated evaluation/ Growth rate	Active vs. Non-Active

Have you had a formal valuation and/or marketability assessment done?  Yes  No

Who will own your business interest in the event of your retirement, disability or death?

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- (If more than one owner)** Are there written Buy-Sell agreements amongst owners?  Yes  No  
 If so, please describe:

Are the agreements funded in the event of death, divorce disability, disillusionment?  
 How will the agreements be funded (life insurance, dedicated sinking funds)?

Which non-owner employees are “key” to the continued success of the Company? **(Provide responsibilities, ages, and total compensation)**

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Does the company currently provide any employee fringe benefits? Describe:

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How much company debt do you currently personally guarantee? \$ \_\_\_\_\_ Is this amount typical?  Yes  No

Are there other **assets**, **liabilities**, **issues**, or **objectives** that should be considered in this analysis?

Are you an accredited investor\*?  Yes  No

\*An accredited investor is a term used by the [Securities and Exchange Commission \(SEC\)](#) under [Regulation D](#) to refer to investors who are financially sophisticated and have a reduced need for the protection provided by certain government filings. You do not have to be an accredited investor to work with Williams Wealth Strategies.

Are you currently invested in any private placements?  Yes  No

Company Name	Ownership %	Initial investment	Estimated current value	Round A, B, C, etc.	Annual income and/or expected appreciation

Comments:

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